

# Categorical Semantics of Focused ILL

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## 1 Introduction

I want to describe a certain categorical semantics of focused intuitionistic linear logic so that I can better understand how it relates to other semantics I've seen in the literature [HS07].

## 2 Language

We recall the syntax of focused intuitionistic linear logic, leaving out exponentials for now. Propositions are polarized into positive and negative. There are shift operators  $\uparrow$  and  $\downarrow$  that coerce back and forth between the two polarities. Atomic propositions also come in positive  $a^+$  and  $a^-$ . Somewhat unusually (but it's just a matter of presentation, not an essential part of the result) we distinguish an atomic proposition  $a^\pm$  of either polarity from the suspension  $\langle a^\pm \rangle$  of it that arises after asynchronous decomposition terminates at it.

Positives	$P$	$::=$	$\downarrow N \mid P \otimes P \mid P \oplus P \mid 1 \mid 0 \mid a^+$
Negatives	$N$	$::=$	$\uparrow P \mid P \multimap N \mid N \& N \mid \top \mid a^-$
Positive Contexts	$\Omega$	$::=$	$\cdot \mid P, \Omega$
Negative Contexts	$\Gamma$	$::=$	$\cdot \mid \Gamma, H$
Stable Hypotheses	$H$	$::=$	$N \mid \langle a^+ \rangle$
Stable Conclusions	$Q$	$::=$	$P \mid \langle a^- \rangle$
Conclusions	$R$	$::=$	$N \mid Q$

The three judgments of the logic are

Inversion	$\Gamma; \Omega \vdash R$
Right Focus	$\Gamma \vdash [P]$
Left Focus	$\Gamma[N] \vdash Q$

(we sometimes abbreviate  $\Gamma; \cdot \vdash R$  as  $\Gamma \vdash R$ ) and the proof rules for the focusing system are in Figure 1.

$\frac{\Gamma; P \vdash N}{\Gamma; \cdot \vdash P \multimap N} \multimap R$	$\frac{\Gamma_1 \vdash [P] \quad \Gamma_2[N] \vdash Q}{\Gamma_1, \Gamma_2[P \multimap N] \vdash Q} \multimap L$	$\frac{\Gamma; \cdot \vdash N_1 \quad \Gamma; \cdot \vdash N_2}{\Gamma; \cdot \vdash N_1 \& N_2} \& R$		
$\frac{\Gamma[N_i] \vdash Q}{\Gamma[N_1 \& N_2] \vdash Q} \& L$	$\frac{\Gamma_1 \vdash [P_1] \quad \Gamma_2 \vdash [P_2]}{\Gamma_1, \Gamma_2 \vdash [P_1 \otimes P_2]} \otimes R$	$\frac{\Gamma; P_1, P_2, \Omega \vdash R}{\Gamma; P_1 \otimes P_2, \Omega \vdash R} \otimes L$		
$\frac{\Gamma \vdash [P_i]}{\Gamma \vdash [P_1 \oplus P_2]} \oplus R_i$	$\frac{\Gamma; P_1, \Omega \vdash R \quad \Gamma; P_2, \Omega \vdash R}{\Gamma; P_1 \oplus P_2, \Omega \vdash R} \oplus L$	$\frac{}{\Gamma; \cdot \vdash \top} \top R \quad \frac{}{\cdot \vdash [1]} 1R$		
$\frac{\Gamma; \Omega \vdash R}{\Gamma; 1, \Omega \vdash R} 1L$	$\frac{}{\Gamma; 0, \Omega \vdash R} 0L$	$\frac{\Gamma; \cdot \vdash N}{\Gamma \vdash [\downarrow N]} \downarrow R$	$\frac{\Gamma, N; \Omega \vdash R}{\Gamma; \downarrow N, \Omega \vdash R} \downarrow L$	$\frac{\Gamma; \cdot \vdash P}{\Gamma; \cdot \vdash \uparrow P} \uparrow R$
$\frac{\Gamma; P \vdash Q}{\Gamma[\uparrow P] \vdash Q} \uparrow L$	$\frac{}{\langle a^+ \rangle \vdash [a^+]} a^+ R$	$\frac{\Gamma, \langle a^+ \rangle; \Omega \vdash R}{\Gamma; a^+, \Omega \vdash R} a^+ L$	$\frac{\Gamma; \cdot \vdash \langle a^- \rangle}{\Gamma; \cdot \vdash a^-} a^- R$	
$\frac{}{[a^-] \vdash \langle a^- \rangle} a^- L$	$\frac{\Gamma \vdash [P]}{\Gamma; \cdot \vdash P} focR$	$\frac{\Gamma[N] \vdash Q}{\Gamma, N; \cdot \vdash Q} focL$		

Figure 1: Focused Linear Logic Proof Rules

## 2.1 Results

These are thoroughly standard.

### Lemma 2.1 (Cut)

1. If  $\Gamma_1[N] \vdash Q$  and  $\Gamma_2 \vdash N$ , then  $\Gamma_1, \Gamma_2 \vdash Q$ .
2. If  $\Gamma_1 \vdash [P]$  and  $\Gamma_2; P \vdash Q$ , then  $\Gamma_1, \Gamma_2 \vdash Q$ .

### Lemma 2.2 (Identity)

1.  $N \vdash N$
2.  $P \vdash P$
3. If  $\Gamma_0[N] \vdash Q$  implies  $\Gamma_0, \Gamma \vdash Q$  for every  $\Gamma_0$  and  $Q$ , then  $\Gamma \vdash N$ .
4. If  $\Gamma_0 \vdash [P]$  implies  $\Gamma_0, \Gamma; \Omega \vdash R$  for every  $\Gamma_0$ , then  $\Gamma; P, \Omega \vdash R$ .

## 3 Semantics

In what follows we write  $\hat{\mathbf{C}}$  as an abbreviation for  $\mathbf{C} \rightarrow \mathbf{Set}$ , despite the usual contravariant convention.

A model  $M$  consists of the following data:

$$\begin{array}{c}
\frac{X \in \hat{\mathbf{P}} \quad Y \in \hat{\mathbf{N}}}{X \hat{\circ} Y := \int^{\alpha:\mathbf{P}, \phi:\mathbf{N}} X(\alpha) \times Y(\phi) \times \mathbf{N}(\alpha \multimap \phi, -)} \\
\frac{X \in \hat{\mathbf{P}} \quad Y \in \hat{\mathbf{P}}}{X \hat{\otimes} Y := \int^{\alpha_1:\mathbf{P}, \alpha_2:\mathbf{P}} X(\alpha_1) \times Y(\alpha_2) \times \mathbf{P}(\alpha_1 \otimes \alpha_2, -)}
\end{array}$$

Figure 2: Semantic Operations

1. a symmetric monoidal category  $(\mathbf{P}, \otimes, I)$  and a category  $\mathbf{N}$
2. functors  $\_ \multimap \_ : \mathbf{P} \times \mathbf{N} \rightarrow \mathbf{N}$  and  $\_ \triangleright \_ : \mathbf{P} \times \mathbf{N} \rightarrow \mathbf{Set}$
3. a natural isomorphism

$$k : \frac{(P_1 \otimes P_2) \triangleright N}{P_1 \triangleright (P_2 \multimap N)}$$

4. a mapping  $\eta$  of atoms  $a^+$  (resp.  $a^-$ ) to objects of  $\hat{\mathbf{P}}$  (resp.  $\hat{\mathbf{N}}$ )

We inductively define an interpretation of all positive (resp. negative) propositions  $P$  (resp.  $N$ ) as objects  $\llbracket P \rrbracket_M \in \hat{\mathbf{P}}$  (resp.  $\llbracket N \rrbracket_M \in \hat{\mathbf{N}}$ ). We write  $\llbracket - \rrbracket$  instead of  $\llbracket - \rrbracket_M$  when the  $M$  is evident from context. The definition of the interpretation is given in Figure 3, where  $+$  denotes the (objectwise) coproduct and  $\emptyset$  the initial object, in the category  $\hat{\mathbf{P}}$  or  $\hat{\mathbf{N}}$  as appropriate. The arrow  $\rightarrow$  in the definition of the shifts is just the function space in  $\mathbf{Set}$ . The multiplicative connectives are defined with coends, using the operators  $\hat{\circ}$  and  $\hat{\otimes}$  defined in Figure 2. Shifts are defined with ends.

To interpret sequents, do as follows. If  $X : \hat{\mathbf{C}}$  and  $Y : \hat{\mathbf{D}}$ , define their objectwise product  $X \wedge Y : \widehat{\mathbf{C} \times \mathbf{D}}$  as  $(X \wedge Y)(C, D) = X(C) \times Y(D)$ .

We say

1.  $\Gamma; \Omega \models_M R$  iff there is a nat. trans.  $(\llbracket \Gamma \rrbracket \hat{\otimes} \llbracket \Omega \rrbracket) \wedge \llbracket R \rrbracket^> \dot{\rightarrow} \triangleright$
2.  $\Gamma \models_M [P]$  iff there is a nat. trans.  $\llbracket \Gamma \rrbracket \dot{\rightarrow} \llbracket P \rrbracket$
3.  $\Gamma[N] \models_M Q$  iff there is a nat. trans.  $(\llbracket \Gamma \rrbracket \hat{\circ} \llbracket Q \rrbracket^>) \dot{\rightarrow} \llbracket N \rrbracket$

When we drop the subscripts  $M$  and just write  $\models$ , it means that the statement holds for all  $M$ .

## 4 Results

**Lemma 4.1**  $\hat{\otimes}$  is associative.

$$\begin{aligned}
\llbracket a^\pm \rrbracket &= \eta(a^\pm) & \llbracket 1 \rrbracket &= \mathbf{P}(I, -) \\
\llbracket P_1 \oplus P_2 \rrbracket &= \llbracket P_1 \rrbracket + \llbracket P_2 \rrbracket & \llbracket 0 \rrbracket &= \emptyset \\
\llbracket N_1 \& N_2 \rrbracket &= \llbracket N_1 \rrbracket + \llbracket N_2 \rrbracket & \llbracket \top \rrbracket &= \emptyset \\
\llbracket P \multimap N \rrbracket &= \llbracket P \rrbracket \hat{\multimap} \llbracket N \rrbracket \\
\llbracket P_1 \otimes P_2 \rrbracket &= \llbracket P_1 \rrbracket \hat{\otimes} \llbracket P_2 \rrbracket \\
\llbracket \uparrow P \rrbracket &= \int_{\alpha:\mathbf{P}} \llbracket P \rrbracket(\alpha) \rightarrow (\alpha \triangleright -) \\
\llbracket \downarrow N \rrbracket &= \int_{\phi:\mathbf{N}} \llbracket N \rrbracket(\phi) \rightarrow (- \triangleright \phi) \\
\hline
\Gamma = H_1, \dots, H_n & & \Omega = P_1, \dots, P_n & \\
\hline
\llbracket \Gamma \rrbracket = \llbracket H_1 \rrbracket^< \hat{\otimes} \dots \hat{\otimes} \llbracket H_n \rrbracket^< & & \llbracket \Omega \rrbracket = \llbracket P_1 \rrbracket^< \hat{\otimes} \dots \hat{\otimes} \llbracket P_n \rrbracket^< & \\
\llbracket P \rrbracket^< = \llbracket P \rrbracket & \llbracket N \rrbracket^< = \llbracket \downarrow N \rrbracket & \llbracket \langle a^+ \rangle \rrbracket^< = \eta(a^+) & \\
\llbracket N \rrbracket^> = \llbracket N \rrbracket & \llbracket P \rrbracket^> = \llbracket \uparrow P \rrbracket & \llbracket \langle a^- \rangle \rrbracket^> = \eta(a^-) &
\end{aligned}$$

Figure 3: Interpreting Propositions

**Proof**

$$\begin{aligned}
(X \hat{\otimes} (Y \hat{\otimes} Z))(\varepsilon) &\cong \left( X \hat{\otimes} \int^{\beta, \gamma: \mathbf{P}} Y(\beta) \times Z(\gamma) \times \mathbf{P}(\beta \otimes \gamma, -) \right) (\varepsilon) \\
&\cong \int^{\alpha, \delta: \mathbf{P}} X(\alpha) \times \left( \int^{\beta, \gamma: \mathbf{P}} Y(\beta) \times Z(\gamma) \times \mathbf{P}(\beta \otimes \gamma, \delta) \right) \times \mathbf{P}(\alpha \otimes \delta, \varepsilon) \\
&\cong \int^{\alpha, \beta, \delta, \gamma: \mathbf{P}} X(\alpha) \times Y(\beta) \times Z(\gamma) \times \mathbf{P}(\alpha \otimes \delta, \varepsilon) \times \mathbf{P}(\beta \otimes \gamma, \delta) \\
&\cong \int^{\alpha, \beta, \delta: \mathbf{P}} X(\alpha) \times Y(\beta) \times Z(\gamma) \times \int^{\delta: \mathbf{P}} \mathbf{P}(\alpha \otimes \delta, \varepsilon) \times \mathbf{P}(\beta \otimes \gamma, \delta) \\
&\cong \int^{\alpha, \beta, \gamma: \mathbf{P}} X(\alpha) \times Y(\beta) \times Z(\gamma) \times \mathbf{P}(\alpha \otimes \beta \otimes \gamma, \varepsilon)
\end{aligned}$$

and we can show symmetrically that

$$(X \hat{\otimes} Y) \hat{\otimes} Z \cong \int^{\alpha, \beta, \gamma: \mathbf{P}} X(\alpha) \times Y(\beta) \times Z(\gamma) \times \mathbf{P}(\alpha \otimes \beta \otimes \gamma, -)$$

■

**Theorem 4.2 (Soundness)**

1. If  $\Gamma; \Omega \vdash R$  then  $\Gamma; \Omega \models R$
2. If  $\Gamma \vdash [P]$  then  $\Gamma \models [P]$
3. If  $\Gamma[N] \vdash Q$  then  $\Gamma[N] \models Q$

**Proof** By induction on the derivation. Some example cases:

Case:

$$\frac{\Gamma; P \vdash Q}{\Gamma[\uparrow P] \vdash Q}$$

We assume a natural transformation

$$\zeta : ([\Gamma] \hat{\multimap} [Q]^>) \dot{\rightarrow} [\uparrow P]$$

and need to produce a natural transformation

$$\xi : ([\Gamma] \hat{\otimes} [P]) \wedge [Q]^> \dot{\rightarrow} \triangleright$$

Expanding definitions, we have

$$\zeta_\psi : \left( \int^{\alpha: \mathbf{P}, \phi: \mathbf{N}} [\Gamma](\alpha) \times [Q]^>(\phi) \times \mathbf{N}(\alpha \multimap \phi, \psi) \right) \dot{\rightarrow} \int_{\beta: \mathbf{P}} [P](\beta) \rightarrow (\beta \triangleright \psi)$$

and we need

$$\xi_{\alpha, \phi} : \left( \int^{\alpha_1: \mathbf{P}, \alpha_2: \mathbf{P}} [\Gamma](\alpha_1) \times [P](\alpha_2) \times \mathbf{P}(\alpha_1 \otimes \alpha_2, \alpha) \right) \times [Q]^>(\phi) \rightarrow (\alpha \triangleright \phi)$$

So let

$$\gamma : [\Gamma](\alpha_1) \quad p : [P](\alpha_2) \quad g : \mathbf{P}(\alpha_1 \otimes \alpha_2, \alpha) \quad q : [Q]^>(\phi)$$

be given. Then we have

$$\zeta_{\alpha_1 \multimap \phi}(\gamma, q, \text{id}_{\alpha_1 \multimap \phi})(p) : \alpha_2 \triangleright (\alpha_1 \multimap \phi)$$

By  $k$ , this is as good as  $(\alpha_1 \otimes \alpha_2) \triangleright \phi$ , and we apply functoriality of  $\triangleright$  to  $g$  and we're done.

Case:

$$\frac{\Gamma \vdash [P]}{\Gamma \vdash P}$$

We assume a natural transformation  $\zeta : [\Gamma] \dot{\rightarrow} [P] : \mathbf{P} \rightarrow \mathbf{Set}$ , and need to produce a natural transformation  $\xi : [\Gamma] \wedge [\uparrow P] \dot{\rightarrow} \triangleright : \mathbf{P} \times \mathbf{N} \rightarrow \mathbf{Set}$ .

For an object  $(\alpha, \phi)$  of  $\mathbf{P} \times \mathbf{N}$ , the arrow  $\xi_{(\alpha, \phi)}$  must be a function

$$[[\Gamma]](\alpha) \times \left( \int_{\alpha: \mathbf{P}} [[P]](\alpha) \rightarrow (\alpha \triangleright \phi) \right) \rightarrow (\alpha \triangleright \phi)$$

Note that for any object  $\alpha : \mathbf{P}$  there is a projection function out of the end

$$\pi_\alpha : \left( \int_{\alpha: \mathbf{P}} [[P]](\alpha) \rightarrow (\alpha \triangleright \phi) \right) \rightarrow [[P]](\alpha) \rightarrow (\alpha \triangleright \phi)$$

So we set

$$\xi_{(\alpha, \phi)} = \lambda(\gamma, e). \pi_\alpha(e) (\zeta_\alpha(\gamma))$$

Case:

$$\frac{\Gamma_1 \vdash [P_1] \quad \Gamma_2 \vdash [P_1]}{\Gamma_1, \Gamma_2 \vdash [P_1 \otimes P_2]}$$

We assume natural transformations  $\zeta_1 : [[\Gamma_1]] \dot{\rightarrow} [[P_1]]$  and  $\zeta_2 : [[\Gamma_2]] \dot{\rightarrow} [[P_2]]$ , and we need to produce

$$\xi : [[\Gamma_1]] \hat{\otimes} [[\Gamma_2]] \dot{\rightarrow} [[P_1 \otimes P_2]]$$

In other words

$$\xi_\beta : \int^{\alpha_1, \alpha_2: \mathbf{P}} [[\Gamma_1]](\alpha_1) \times [[\Gamma_2]](\alpha_2) \times \mathbf{P}(\alpha_1 \otimes \alpha_2, \beta) \dot{\rightarrow} \int^{\alpha_1, \alpha_2: \mathbf{P}} [[P_1]](\alpha_1) \times [[P_2]](\alpha_2) \times \mathbf{P}(\alpha_1 \otimes \alpha_2, \beta)$$

This is easily constructed out of  $\zeta_1$  and  $\zeta_2$  and  $\text{id}_{\mathbf{P}(\text{---} \otimes \text{---}, \beta)}$ .

Case:

$$\frac{\Gamma; P_1, P_2 \vdash Q}{\Gamma; P_1 \otimes P_2 \vdash Q}$$

We have a natural transformation

$$[[\Gamma]] \hat{\otimes} [[P_1]] \hat{\otimes} [[P_2]] \hat{\otimes} [[\uparrow Q]] \dot{\rightarrow} \triangleright$$

and need to produce

$$[[\Gamma]] \hat{\otimes} [[P_1 \otimes P_2]] \hat{\otimes} [[\uparrow Q]] \dot{\rightarrow} \triangleright$$

which is essentially the same thing, by associativity of  $\hat{\otimes}$ .

■

## 4.1 Completeness

We build a syntactic model. Fix a collection of atoms.

- Let  $\mathbf{P}$  be the category whose objects are all contexts  $\Gamma$ , and whose morphisms are permutations. The functor  $\otimes$  is context concatenation, and the monoidal unit  $I$  is the empty context.
- Let  $\mathbf{N}$  be the category whose objects are pairs  $(\Gamma, Q)$  and whose morphisms are context permutations. The functor  $\multimap$  takes an object  $\Gamma_1$  of  $\mathbf{P}$  and an object  $(\Gamma_2, Q)$  of  $\mathbf{N}$  and produces  $((\Gamma_1, \Gamma_2), Q)$  in  $\mathbf{N}$ .
- The functor  $\triangleright$  takes an object  $\Gamma_1$  of  $\mathbf{P}$  and an object  $(\Gamma_2, Q)$  of  $\mathbf{N}$  and yields the set of derivations  $\Gamma_1, \Gamma_2 \vdash Q$ .
- $k$  is easy to check by simply constructing proofs and using cut.
- We choose as interpretations of the atoms as follows

$$\eta(a^+)(\Gamma) = \begin{cases} \{*\} & \text{if } \Gamma = \langle\langle a^+ \rangle\rangle; \\ \emptyset & \text{otherwise.} \end{cases}$$

$$\eta(a^-)(\Gamma, Q) = \begin{cases} \{*\} & \text{if } (\Gamma, Q) = (\cdot, \langle a^- \rangle); \\ \emptyset & \text{otherwise.} \end{cases}$$

The thing we need to do is show that this model is in fact universal — that the interpretation of every proposition reflects its (focused) provability. We first claim that

**Lemma 4.3** *In the syntactic model, for all  $P$  and  $N$  we have*

- If  $\llbracket P \rrbracket(\Gamma)$ , then  $\Gamma \vdash [P]$
- If  $\llbracket N \rrbracket(\Gamma, Q)$ , then  $\Gamma[N] \vdash Q$

**Proof** This proceeds by induction on the proposition. For the atoms, the definition of  $\eta$  makes this immediately true.

Note that because the categories  $\mathbf{P}$  and  $\mathbf{N}$  are groupoids, taking (co)ends over them is the same as taking mere (co)products over their connected components, for example

$$\int^{\Gamma:\mathbf{P}} F(\Gamma, \Gamma) = \coprod_{\Gamma:\mathbf{P}} F(\Gamma, \Gamma)$$

where the  $\coprod$  is understood as ranging over contexts identified up to permutation. Other cases:

Case:  $P_1 \otimes P_2$ . If  $\llbracket P_1 \otimes P_2 \rrbracket(\Gamma)$  is inhabited, then by definition

$$\coprod_{\Gamma_1, \Gamma_2:\mathbf{P}} \llbracket P_1 \rrbracket(\Gamma_1) \times \llbracket P_2 \rrbracket(\Gamma_2) \times \mathbf{P}((\Gamma_1, \Gamma_2), \Gamma)$$

is inhabited. By i.h., we have  $\Gamma_1 \vdash [P_1]$  and  $\Gamma_2 \vdash [P_2]$ , so  $\Gamma_1, \Gamma_2 \vdash [P_1 \otimes P_2]$  as required.

Case:  $\downarrow N$ . Suppose  $\llbracket \downarrow N \rrbracket(\Gamma)$  is inhabited. By definition,

$$\prod_{(\Gamma_0, Q): \mathbf{N}} \llbracket N \rrbracket(\Gamma_0, Q) \rightarrow \Gamma \triangleright (\Gamma_0, Q)$$

is inhabited. By Lemma 2.2 and soundness, we have  $\Gamma \vdash N$ .

■

**Lemma 4.4** *In the syntactic model,*

1.  $\llbracket \downarrow N \rrbracket(N)$  is inhabited.
2.  $\llbracket \uparrow P \rrbracket(\cdot, P)$  is inhabited.
3.  $\llbracket \Gamma \rrbracket(\Gamma)$  is inhabited.
4.  $(\llbracket \Gamma \rrbracket \hat{\rightarrow} \llbracket Q \rrbracket^>)(\Gamma, Q)$  is inhabited.

**Proof** Follows easily from Lemma 2.2. ■

**Lemma 4.5** *In the syntactic model,*

1. If  $(\llbracket \Gamma \rrbracket \hat{\otimes} \llbracket \Omega \rrbracket) \wedge \llbracket R \rrbracket^> \rightarrow \triangleright$ , then  $\Gamma; \Omega \vdash R$ .
2. If  $\llbracket \Gamma \rrbracket \rightarrow \llbracket P \rrbracket$ , then  $\Gamma \vdash [P]$ .
3. If  $(\llbracket \Gamma \rrbracket \hat{\rightarrow} \llbracket Q \rrbracket^>) \rightarrow \llbracket N \rrbracket$ , then  $\Gamma[N] \vdash Q$ .

**Proof** Combine Lemmas 4.4 and 4.3. ■

**Corollary 4.6 (Completeness)**

1. If  $\Gamma; \Omega \models R$  then  $\Gamma; \Omega \vdash R$
2. If  $\Gamma \models [P]$  then  $\Gamma \vdash [P]$
3. If  $\Gamma[N] \models Q$  then  $\Gamma[N] \vdash Q$

## References

- [HS07] Masahiro Hamano and Philip Scott. A categorical semantics for polarized MALL. *Annals of Pure and Applied Logic*, 145(3):276 – 313, 2007.